

Rijksinstituut voor Volksgezondheid  
en Milieu  
*Ministerie van Volksgezondheid,  
Welzijn en Sport*

# Interpretation of AMR surveillance data: sources of error and bias

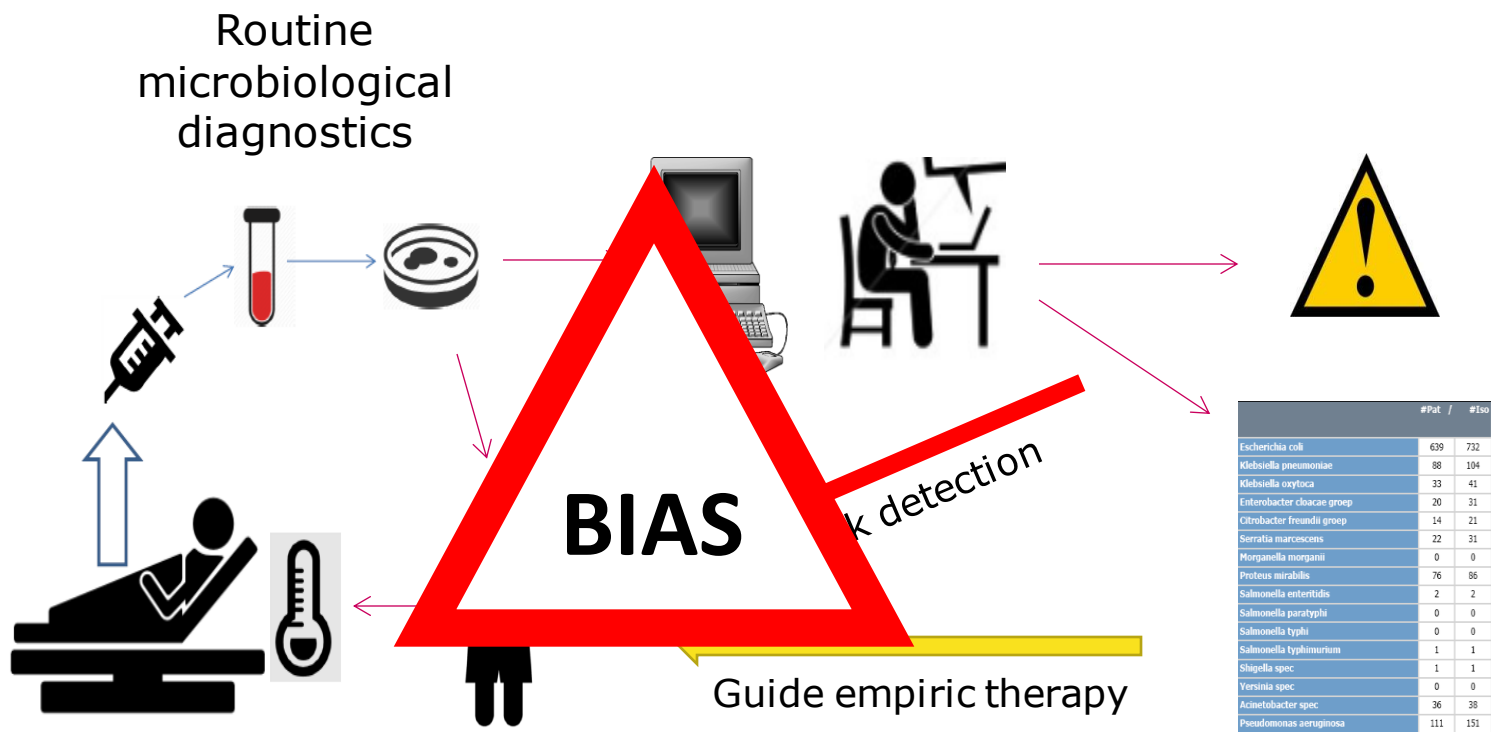
Carolien Ruesen, PhD

Coordinator WHO Collaborating Centre for  
Antimicrobial Resistance Epidemiology and  
Surveillance

*Centre for Infectious Disease Control, RIVM*



# AMR surveillance based on *routine diagnostics*





# Surveillance using routine data

## Advantages

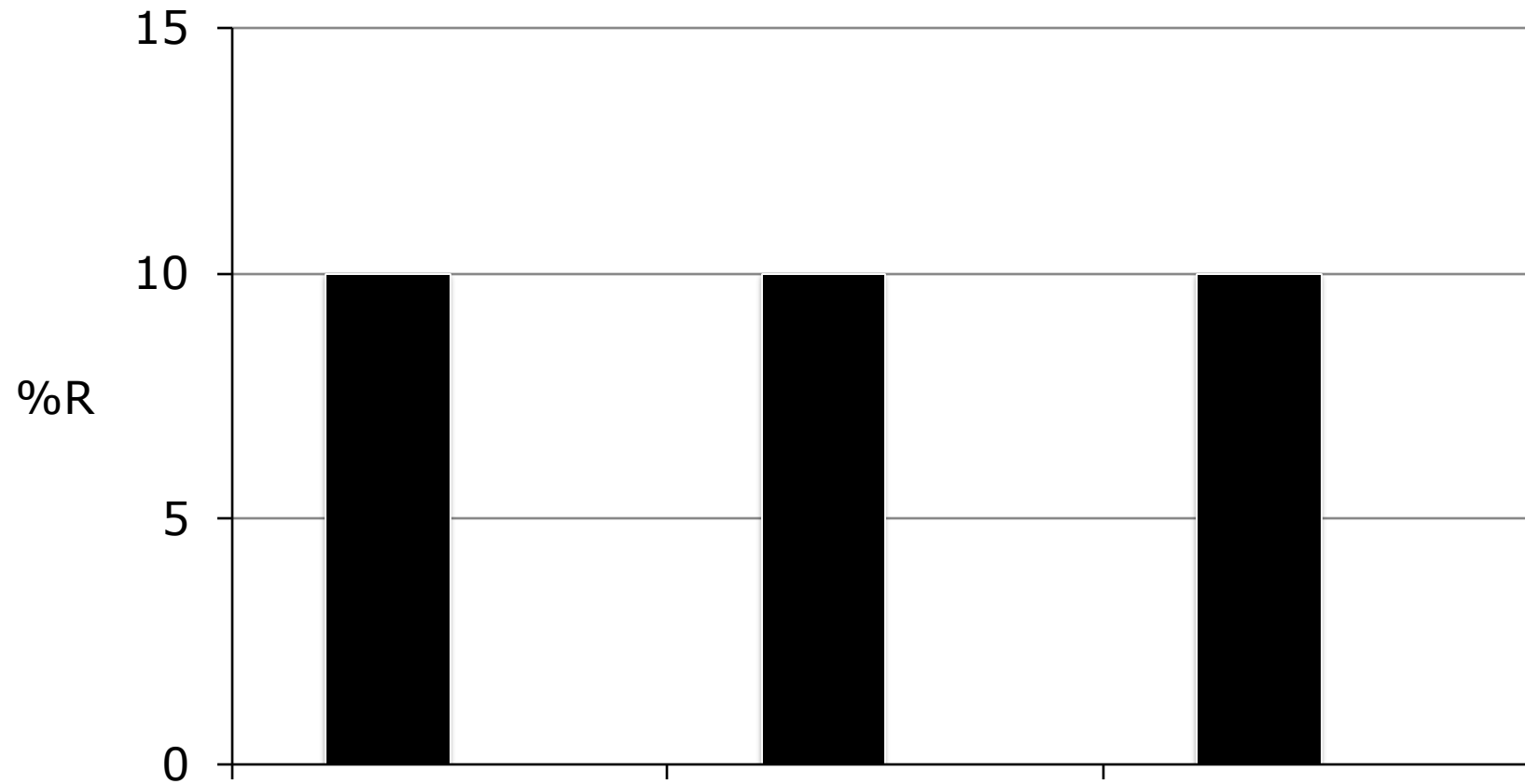
- > Large numbers for relatively little costs
- > Relatively insensitive to outbreaks
- > Gives a good overall picture of resistance
- > Can be used to follow trends and emerging resistance
- > Guide antibiotic therapy and support infection prevention (under certain conditions!)

## Disadvantages

- > No data on colonisation
- > Difficult to standardize
- > Risk of error and bias

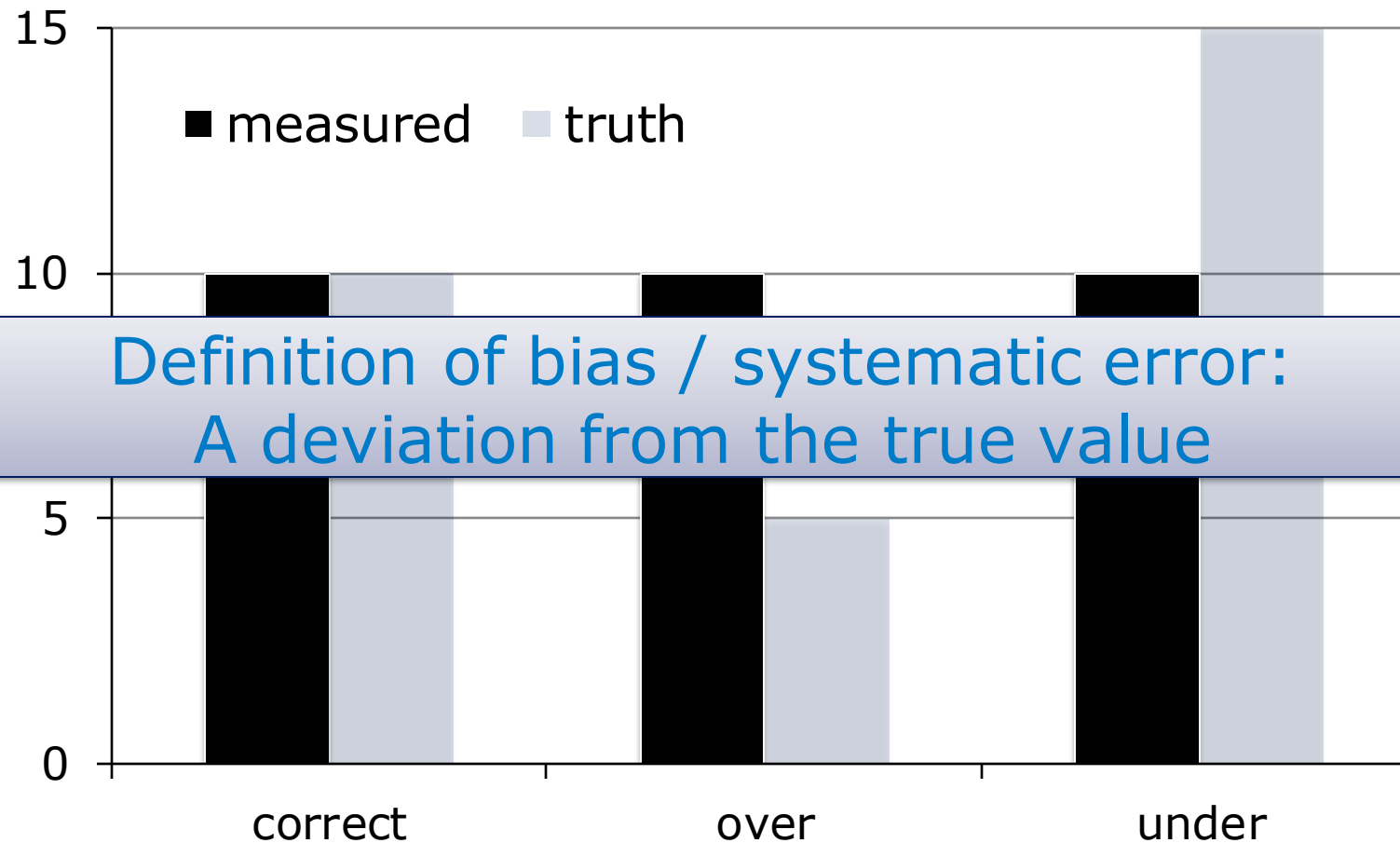


# Is our estimate the truth?





# Is our estimate the truth?



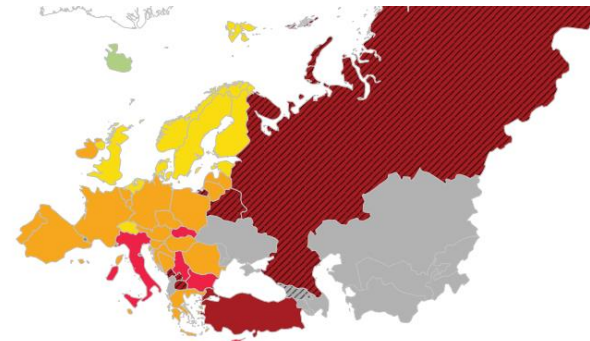
Definition of bias / systematic error:  
A deviation from the true value



# Representativeness



Type of hospital



Geographical region



Type of department



Season



# Error and bias in national AMR surveillance

## **Surveillance system**

1. Geographical region
2. Hospital types

## **Sampling procedures**

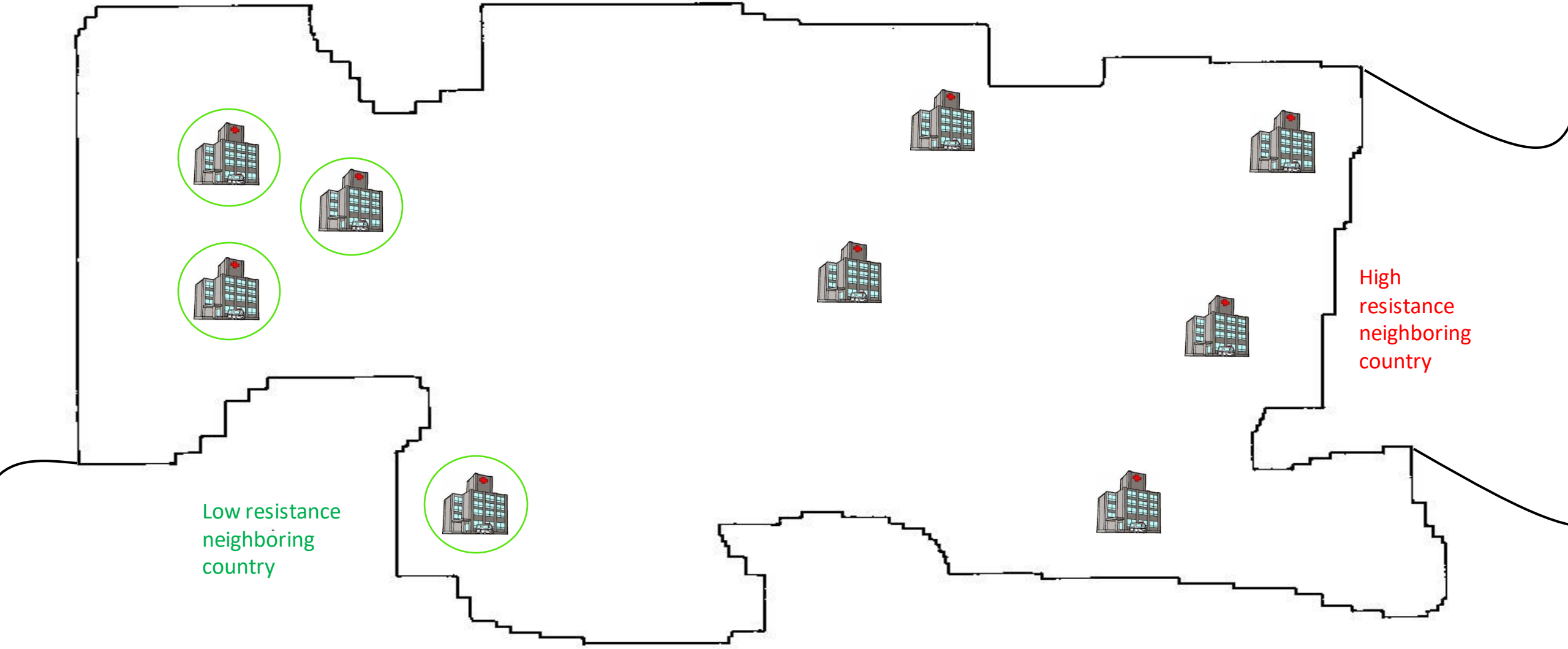
1. Selection of patients
2. Sampling after treatment
3. Follow-up samples
4. Sample size

## **Laboratory procedures**

1. AST guidelines
2. Measurement error
3. Selective testing



# Surveillance system – 1. geographical region



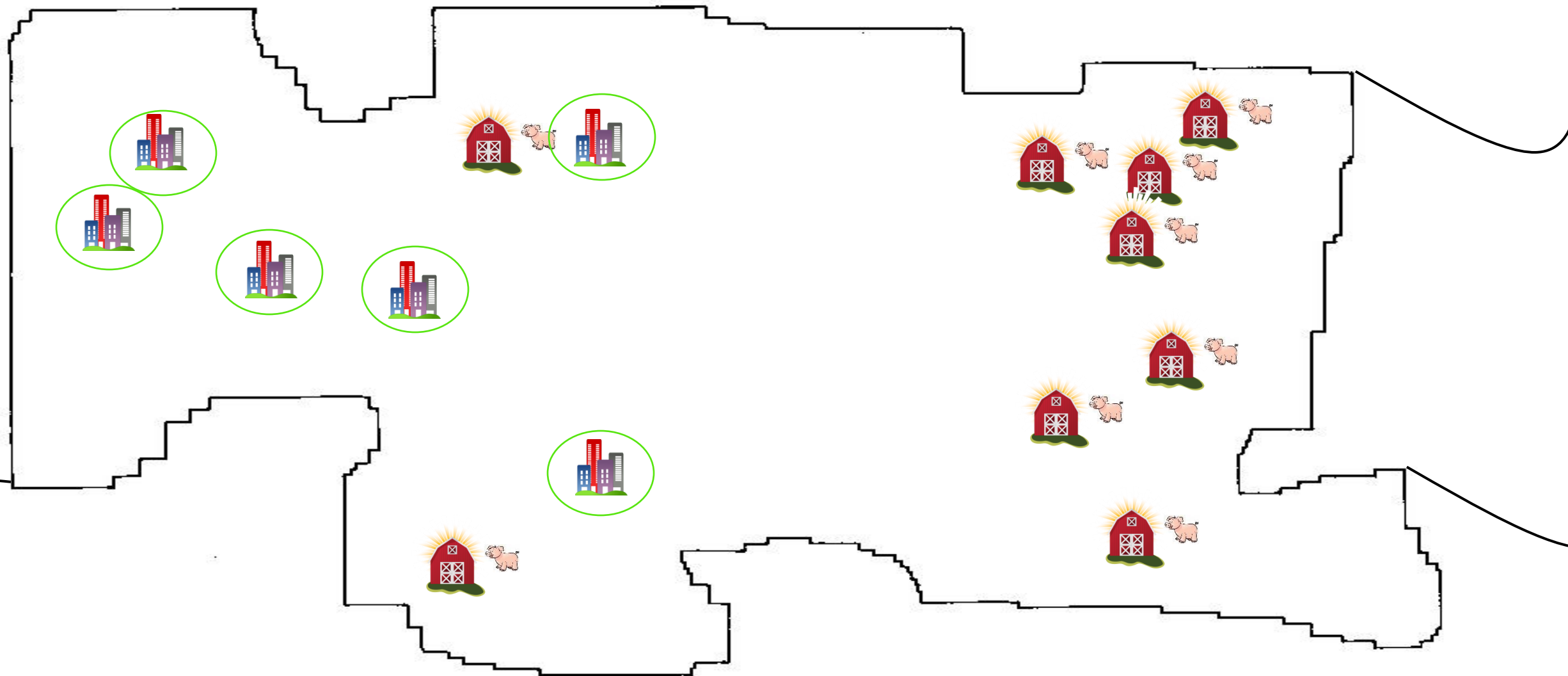
Low resistance  
neighboring  
country

High  
resistance  
neighboring  
country



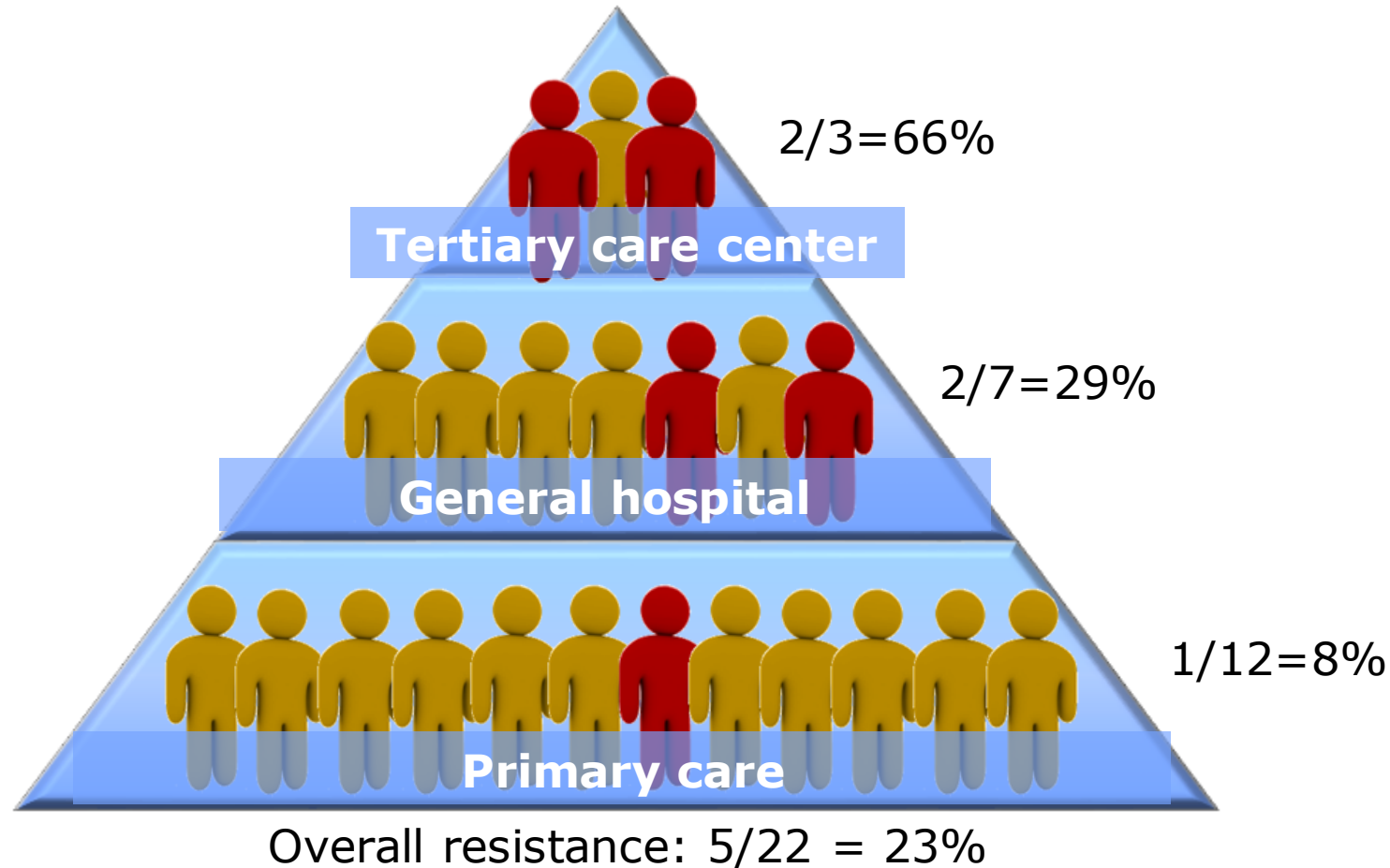


# Surveillance system – 1. geographical region





# Surveillance system – 2. hospital types





# Error and bias in national AMR surveillance

## Surveillance system

1. Geographical region
2. Hospital types

## Sampling procedures

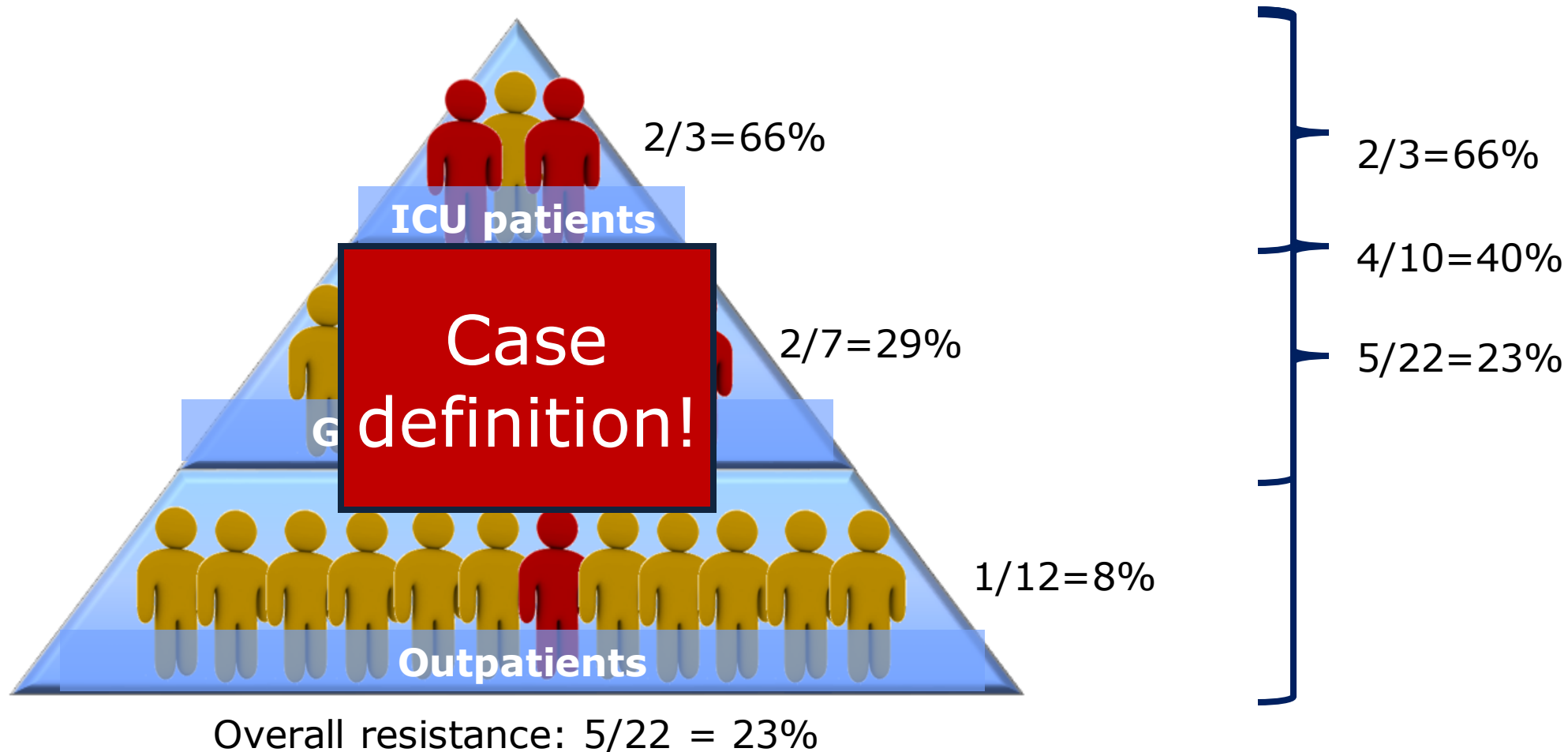
1. Selection of patients
2. Sampling after treatment
3. Follow-up samples
4. Sample size

## Laboratory procedures

1. AST guidelines
2. Measurement error
3. Selective testing

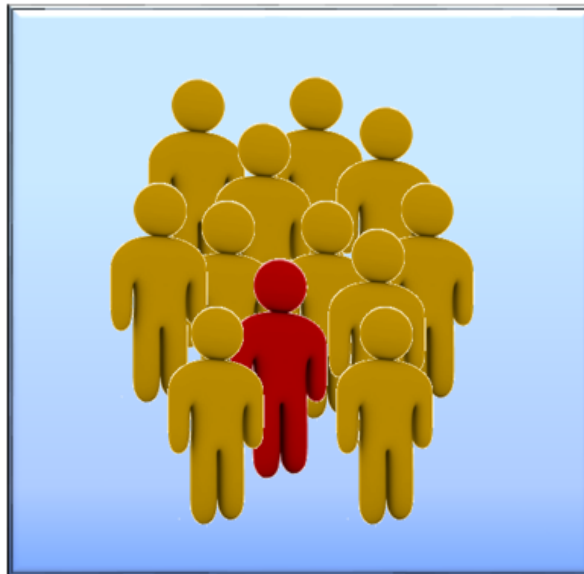


# Sampling procedures – 1. patient population

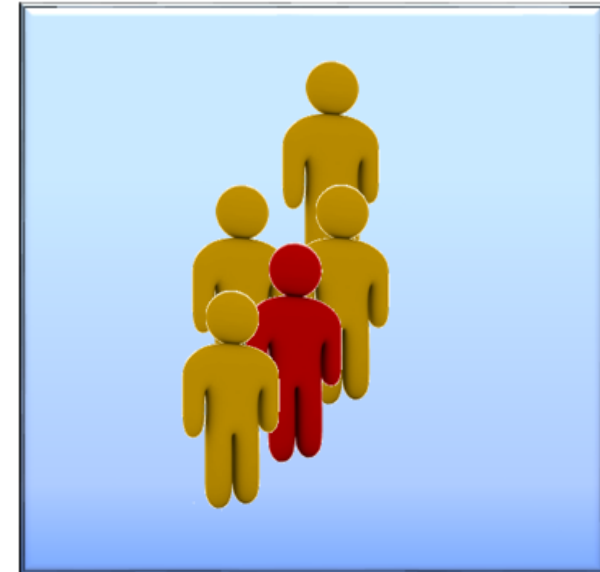




## Sampling procedures – 2. sampling after treatment



$$1/12 = 8\%$$



$$1/5 = 20\%$$



# Sampling procedures – 3. follow-up samples

Patient	Number of isolates in dataset
1	5
2	2
3	2
4	1
5	6
6	1
<b>Total</b>	<b>17</b>

 S  
 R

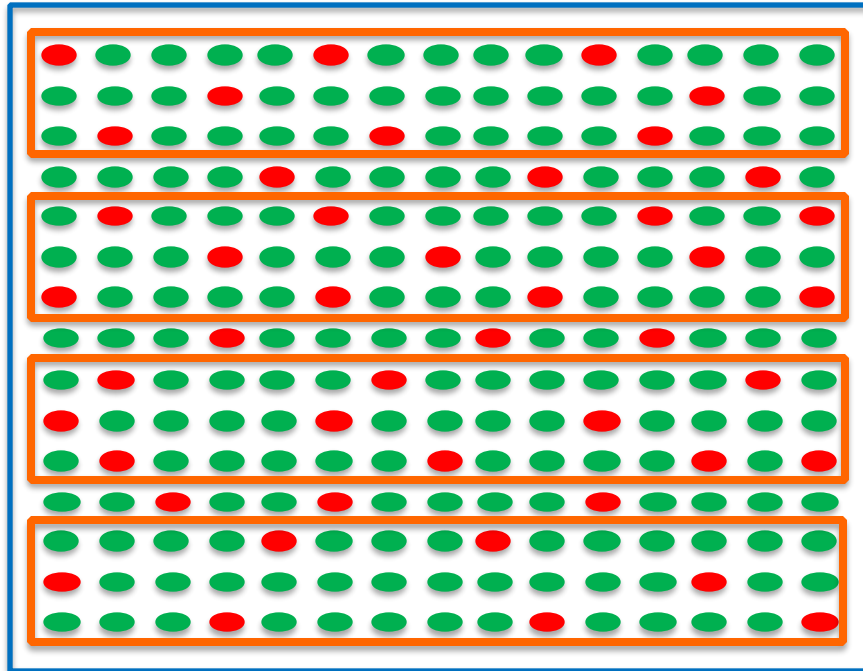
All isolates => %R =  $11/17 = 65\%$

One isolate per patient => %R =  $2/6 = 33\%$

De-duplication



# Sampling procedures – 4. sample size



Overall resistance:  $45/225 = 20.0\%$

$8/45 = 17.8\%$      $-/+ \bullet$ : 15.6/20.0%

$11/45 = 24.4\%$      $-/+ \bullet$ : 22.2/26.7%

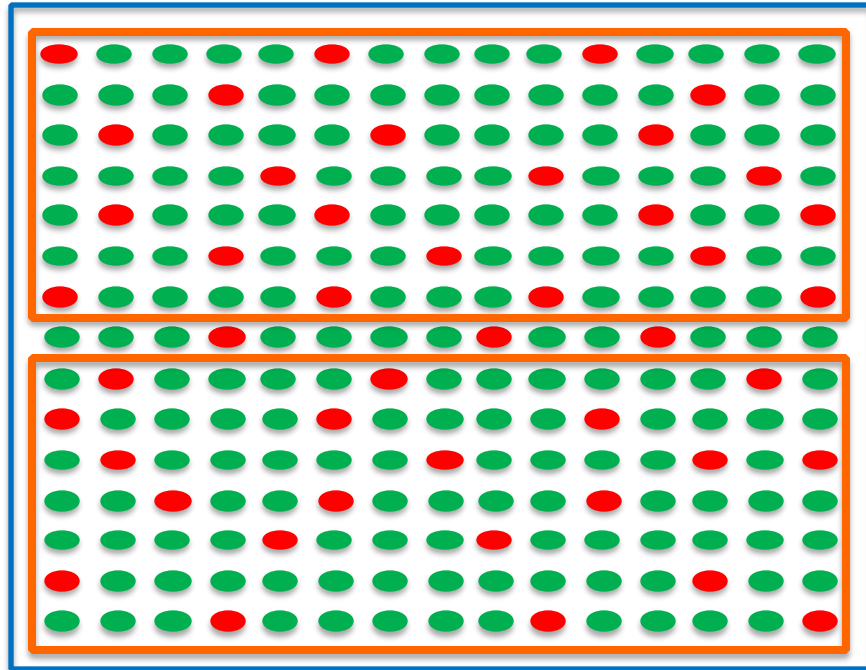
$10/45 = 22.2\%$      $-/+ \bullet$ : 20.0/24.4%

$7/45 = 15.6\%$      $-/+ \bullet$ : 13.3/17.8%

Random sampling error /  
natural statistical variation



# Sampling procedures – 4. sample size



$22/105 = 21.0\%$      $-/+ \bullet : 20.0/21.9\%$

$20/105 = 19.0\%$      $-/+ \bullet : 18.1/20.0\%$

Overall resistance:  $45/225 = 20.0\%$





# Error and bias in national AMR surveillance

## Surveillance system

1. Geographical region
2. Hospital types

## Sampling procedures

1. Selection of patients
2. Sampling after treatment
3. Follow-up samples
4. Sample size

## Laboratory procedures

1. AST guidelines
2. Measurement error
3. Selective testing



# Laboratory procedures – 1. AST guidelines

- EUCAST / CLSI / other guidelines
  - Breakpoints
  - Expert rules

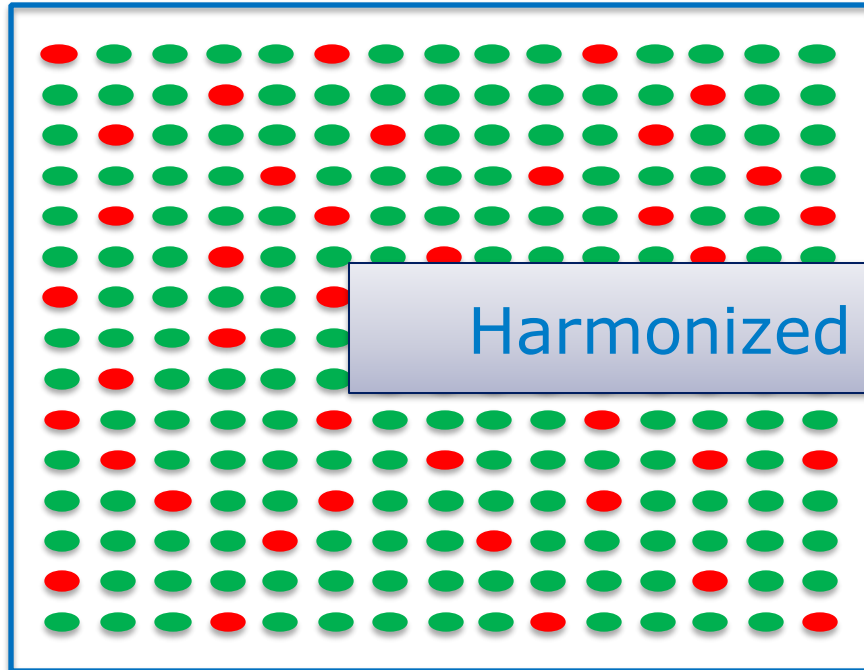
<i>E. coli</i> - Ceftazidime		
Guideline	MIC Breakpoint	
CLSI	S	$\leq 4$
	I	8
	R	$\geq 16$
EUCAST	S	$\leq 1$
	R	$> 4$

→ different % SIR



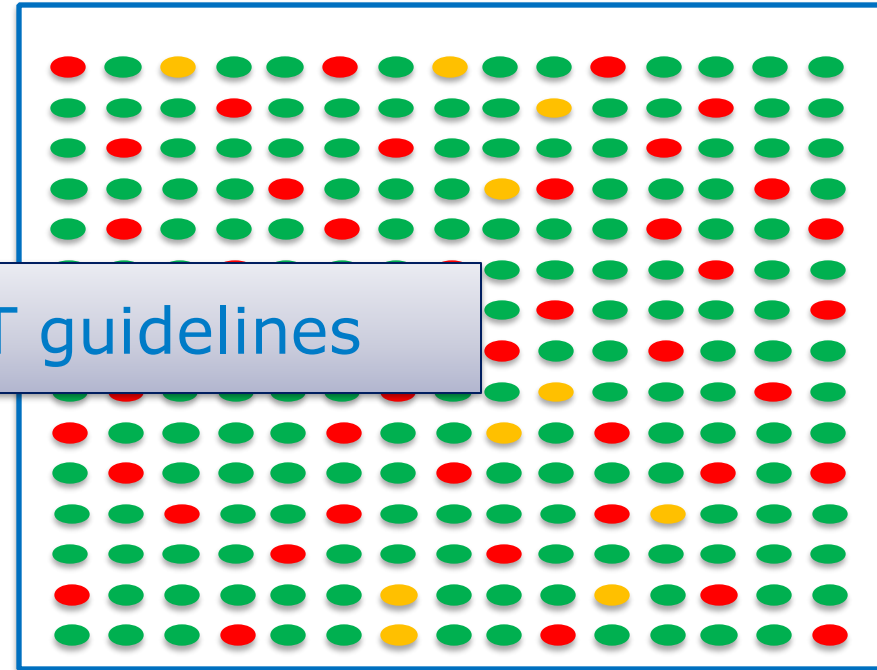
# Laboratory procedures – 1. AST guidelines

AST guideline A



$45/225 = 20.0\%$

AST guideline B

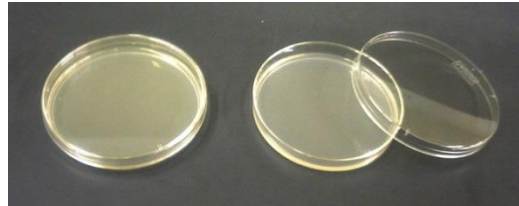


$57/225 = 25.3\%$

Harmonized AST guidelines



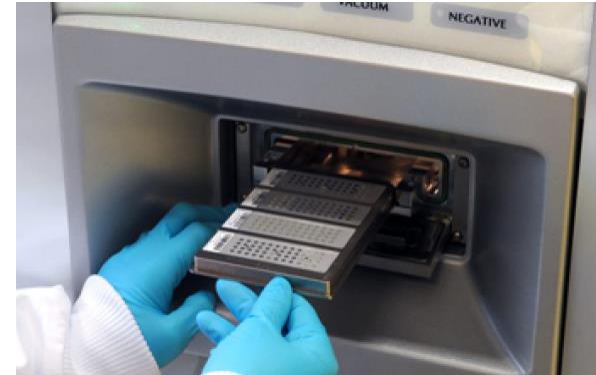
# Laboratory procedures – 2. measurement error



Preparation and storage  
of agar plates



Inoculum

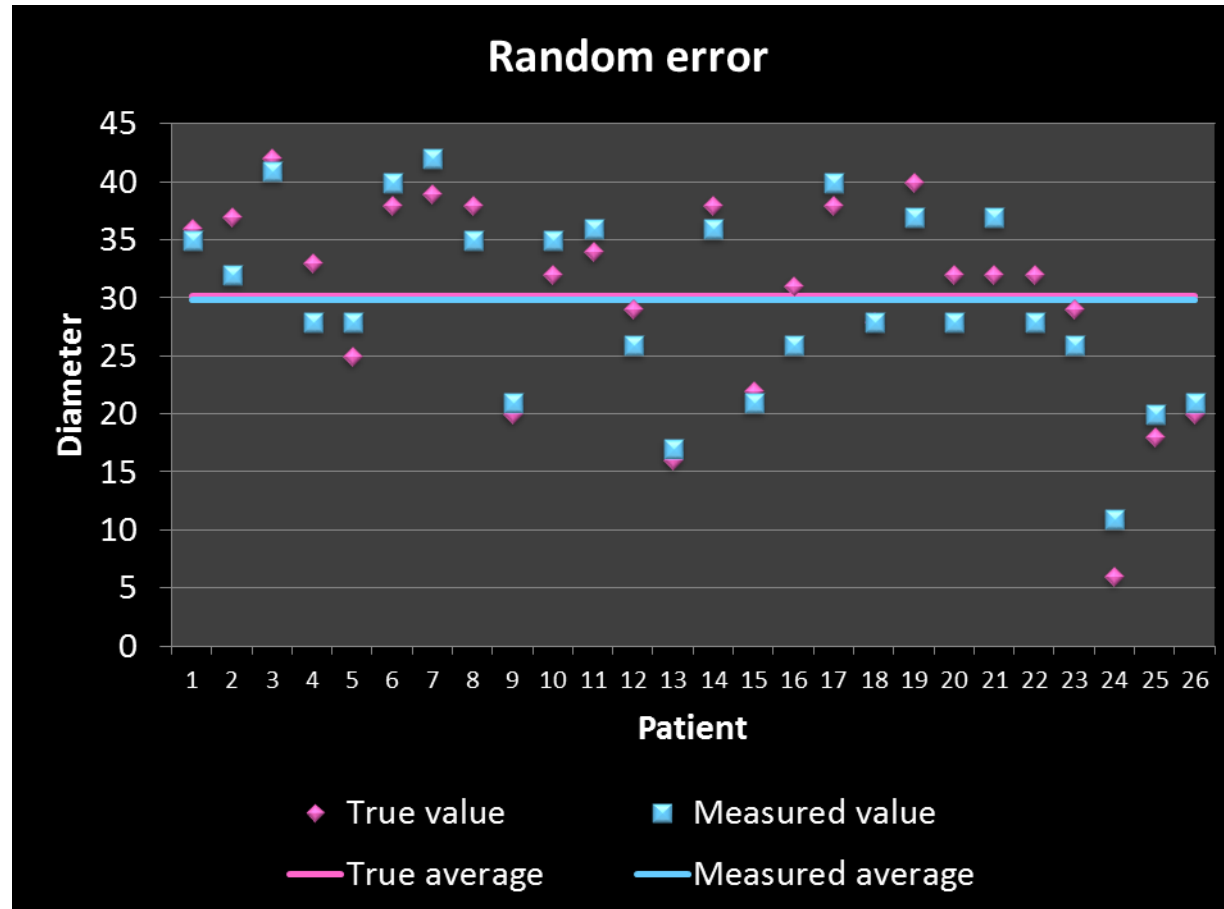


Uncalibrated automated  
system

Random vs. systematic  
measurement error

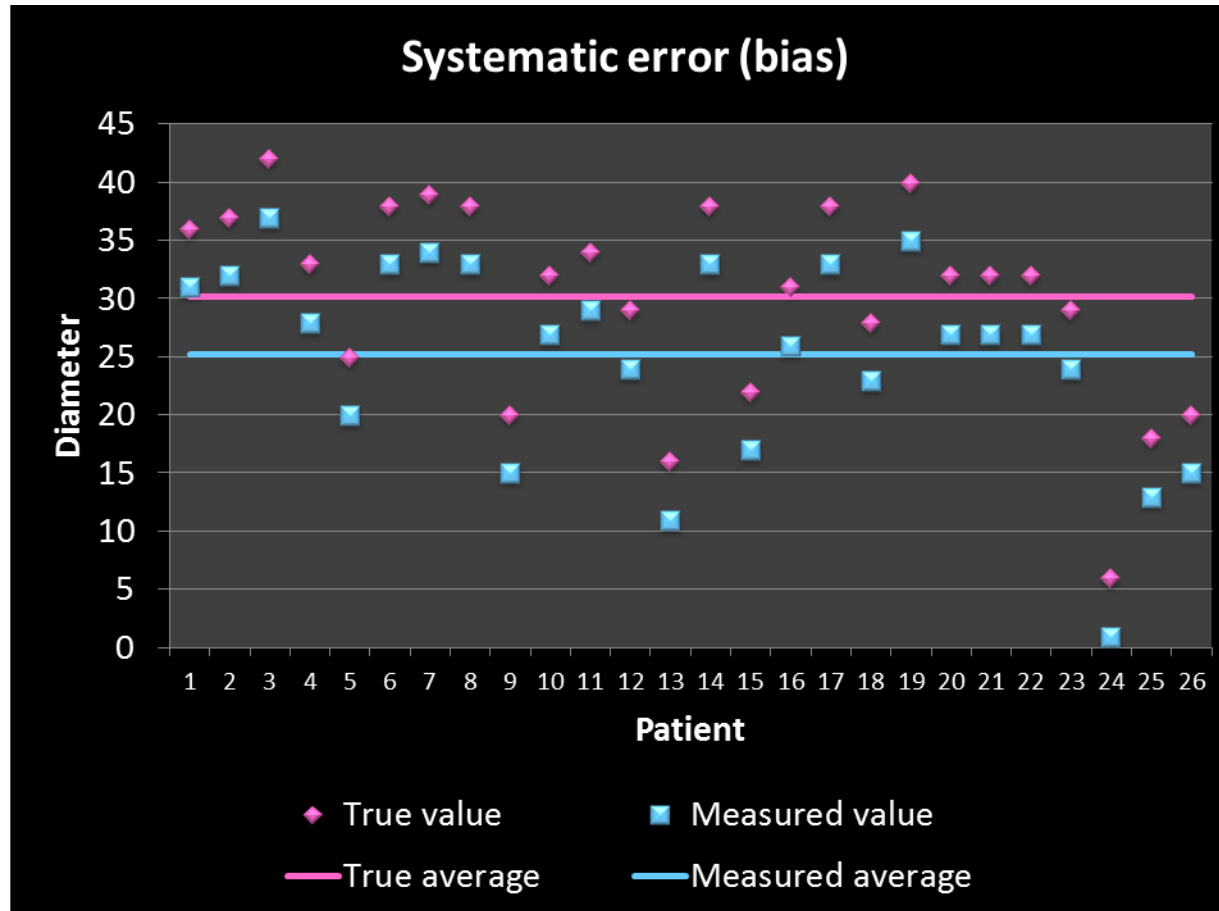


# Laboratory procedures – 2. measurement error



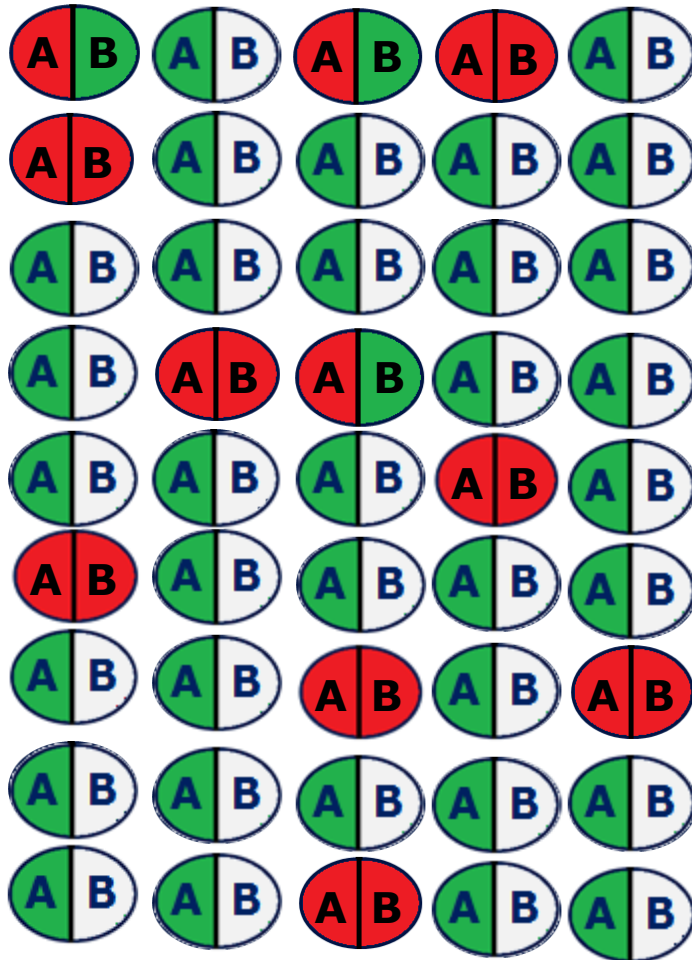


# Laboratory procedures – 2. measurement error





# Laboratory procedures – 3. selective testing



**Testing all isolates  
against both drugs**

A:  $11/45=24\%$

B:  $10/45=22\%$

**Sequential testing:**

A:  $11/45=24\%$

B:  $8/11=73\%$

- Check for and report on differences in numbers of isolates
- Exclude data for pathogen-antibiotic combination in lab, when tested for <50% of isolates of the pathogen



# Most error and bias can not be corrected afterwards!

1. Prevent error and bias during data collection
  - Design of surveillance system
  - Case definitions for sampling
  - Standardize! Make **consistent, uniform, comparable**
2. Interpret results carefully
  - Understand how error and bias influence your data

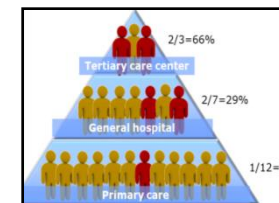
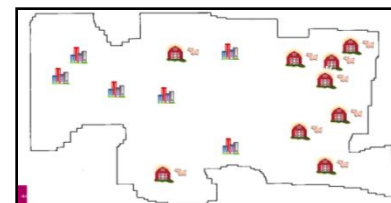




# Interpretation and reporting of results – questions to ask yourself

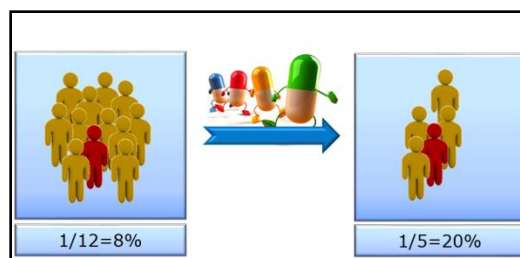
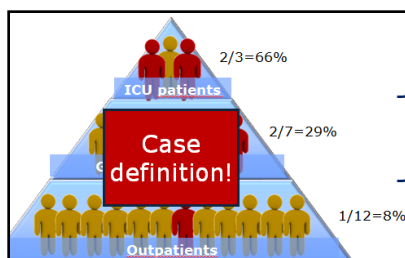
## > How is the surveillance system designed?

- Geographical representativeness
- Selection of hospitals in surveillance

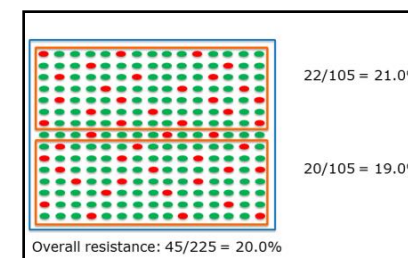


## > What sampling procedures were used?

- Was a clear case definition formulated and adhered to?
- Did sampling occur before treatment?
- Are follow-up samples included? (exclude them from analyses!)
- Is the sample size large enough for robust estimates?



Patient	Number of isolates in dataset
1	5
2	2
3	2
4	1
5	6
6	1
<b>Total</b>	<b>17</b>

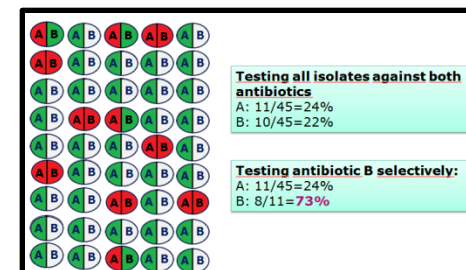
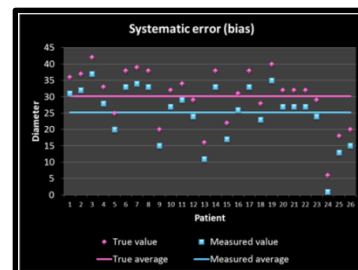




# Interpretation and reporting of results – questions to ask yourself

- > Could laboratory procedures have caused bias / incomparable results?
  - Are harmonized AST guidelines and clinical breakpoints used?
  - Are exceptional phenotypes confirmed? (plausibility of laboratory results, i.e. indications for measurement error)
  - Are there indications for selective testing (are all isolates tested for all relevant antibiotics)?

<i>E. coli</i> - Ceftriaxime		
Guideline	MIC	Breakpoint
CLSI	S	$\leq 4$
	I	8
	R	$\geq 16$
EUCAST	S	$\leq 1$
	R	$> 4$





# Conclusion

- > AMR surveillance data are extremely valuable in taking measures to prevent and control the spread of AMR
  - Awareness & advocacy
  - Infection prevention and control
  
- > AMR surveillance data are subject to different types of error and bias
  - Magnitude unclear
  - Use of results for empiric therapy guidelines: **with great caution!!!!!!**
  - Interpret data carefully and report on how potential bias influenced the data

Thank you

